

# FEE TRANSMITTAL for FY 2002

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT (\$) 0.00

Complete if Known

Application Number 09/782,732  
Filing Date February 13, 2001  
First Named Inventor Shuqi Chen  
Examiner Name Not Yet Assigned  
Group / Art Unit 2856  
Attorney Docket No. IQA-005.01 (22197-501)

## METHOD OF PAYMENT (check one)

1. ☐ The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:

Deposit  
Account  
Number

06-1448

Deposit  
Account  
Name

Foley Hoag LLP

- ☒ Charge Any Additional Fee Required  
Under 37 CFR 1.16 and 1.17  
☒ Applicant claims small entity status.  
See 37 CFR 1.27

2. ☐ Payment Enclosed:

☐ Check ☐ Credit card ☐ Money  
Order ☐ Other

## FEE CALCULATION

### 1. BASIC FILING FEE

Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description	Fee Paid
101	740	201	370	Utility filing fee	
106	330	206	165	Design filing fee	
107	510	207	255	Plant filing fee	
108	740	208	370	Reissue filing fee	
114	160	214	80	Provisional filing fee	

SUBTOTAL (1)

(\$)

### 2. EXTRA CLAIM FEES

Total Claims	Extra Claims	Fee from below	Fee Paid
Independent Claims	** = 0	X	= 0
Multiple Dependent	** = 0	X	= 0

Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description
103	18	203	9	Claims in excess of 20
102	84	202	42	Independent claims in excess of 3
104	280	204	140	Multiple dependent claim, if not paid
109	84	209	42	** Reissue independent claims over original patent
110	18	210	9	** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2)

(\$) 0

\*\*or number previously paid, if greater; For Reissues, see above

## FEE CALCULATION (continued)

### 3. ADDITIONAL FEES

Large Entity Fee Code	Fee (\$)	Small Entity Fee Code	Fee (\$)	Fee Description	Fee Paid
105	130	205	65	Surcharge - late filing fee or oath	
127	50	227	25	Surcharge - late provisional filing fee or cover sheet.	
139	130	139	130	Non-English specification	
147	2,520	147	2,520	For filing a request for reexamination	
112	920*	112	920*	Requesting publication of SIR prior to Examiner action	
113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	
115	110	215	55	Extension for reply within first month	
116	400	216	200	Extension for reply within second month	
117	920	217	460	Extension for reply within third month	
118	1,440	218	720	Extension for reply within fourth month	
128	1,960	228	980	Extension for reply within fifth month	
119	320	219	160	Notice of Appeal	
120	320	220	160	Filing a brief in support of an appeal	
121	280	221	140	Request for oral hearing	
138	1,510	138	1,510	Petition to institute a public use proceeding	
140	110	240	55	Petition to revive - unavoidable	
141	1,280	241	640	Petition to revive - unintentional	
142	1,280	242	640	Utility issue fee (or reissue)	
143	460	243	230	Design issue fee	
144	620	244	310	Plant issue fee	
122	130	122	130	Petitions to the Commissioner	
123	50	123	50	Processing fee under 37 CFR 1.17 (q)	
126	180	126	180	Submission of Information Disclosure Stmt	
581	40	581	40	Recording each patent assignment per property (times number of properties)	
146	740	246	370	Filing a submission after final rejection (37 CFR § 1.129(a))	
149	740	249	370	For each additional invention to be examined (37 CFR § 1.129(b))	
179	740	279	370	Request for Continued Examination (RCE)	
169	900	169	900	Request for expedited examination of a design application	

Other fee (specify) \_\_\_\_\_

\*Reduced by Basic Filing Fee Paid

SUBTOTAL (3)

(\$) 0

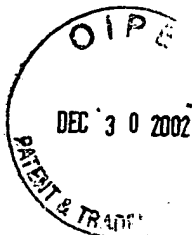
## SUBMITTED BY

Complete (if applicable)

Name (Print/Type)	David A. Lane, Jr.	Registration No. Attorney/Agent)	39,261	Telephone	(617) 832-1197
Signature	<i>David A. Lane, Jr.</i>	Date	12/27/02		

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Washington, DC 20231.



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Shuqi Chen

Application No: 09/782,732

Filed: February 13, 2001

For: Sample Processing Device and Method

Examiner: Not Yet Assigned

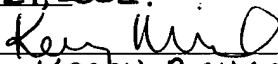
Art Unit: 2856

Attorney Document No.: IQA-005.0  
(22197-501)

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TECHNOLOGY CENTER 2800

CERTIFICATE OF FIRST CLASS MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail, postage prepaid, "Post Office to Addressee", in an envelope addressed to the Commissioner for Patents, Washington, D.C. 20231, on December 27, 2002.

  
Signature KERRY RICHARD

**INFORMATION DISCLOSURE STATEMENT**  
**UNDER 37 CFR 1.97(c)**

Commissioner for Patents  
Washington, DC 20231

Sir:

Pursuant to 37 C.F.R. §§ 1.56 and 1.97 (c)(2), submitted herewith on Form PTO-1449 is a list of additional references that have been identified by Applicants.

Copies of references BA-BS and CA-CF are provided herewith.

In compliance with the requirements of 37 C.F.R. §1.56 and 1.97, Applicants have cited for the Examiner's consideration certain co-pending U.S. Patent applications that are owned at least in part by the assignee of this application, that describe subject matter related to the present invention. The co-pending applications are listed herewith in accordance with M.P.E.P. 609 III.D which states: "Applicants may wish to list U.S. patent application numbers on other than form PTO-1449 or PTO/SB/08A and 08B format to avoid the application numbers of pending applications being published on the patent. If a citation is not printed on the patent but has been considered by the examiner in accordance with this section, the patented file will reflect that fact as noted in subsection III.C(2) above."

No copies of the co-pending applications have been provided. If the Examiner wishes to have copies of the co-pending applications, Examiner should contact the Attorney of record.

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Our Docket No.	S.N.	Filing Date	Title
IQA-003.02	09/910,233	07/20/01	Fluid Sample Testing System
IQA-004.01	09/339,055	06/23/99	Multi-Layer Testing Column
IQA-007.01	10/241,816	09/11/02	Sample Vessels

This submission does not represent that a search has been made or that no better art exists. Nor does it constitute an admission that the cited documents and/or applications are material or constitute "prior art." If the Examiner applies the listed documents and/or applications as prior art against any claim in the application and Applicants determine that the cited documents and/or applications do not constitute prior art under United States law, Applicants reserve the right to present to the Office the relevant facts and law regarding the appropriate status of such documents and/or applications. Applicants further reserve the right to take appropriate action to establish the patentability of the disclosed invention over the listed documents and/or applications, should one or more of the referenced documents and/or applications be applied against the claims of the present application.

Applicants respectfully request that the Examiner consider the listed documents and applications and indicate each document was considered by making appropriate notations on the attached Form PTO-1449.

No fee is believed due. If a fee is occasioned or if extensions of time are necessary, please credit any overpayment or charge any fee deficiency to Deposit Account, No. 06-1448.

Respectfully Submitted,

Date: 12/27/02

Customer No: 25181

Patent Group

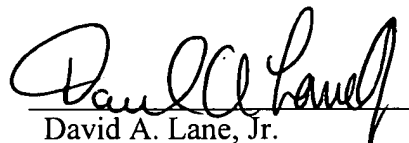
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Reg. No. 39,261



(Use several sheets if necessary)

09/782,732

2856

EXAMINER  
INITIAL

DOCUMENT NUMBER

DATE \_\_\_\_\_

NAME \_\_\_\_\_

CLASS

SUBCLASS

FILING DATE  
IF APPROPRIATE

## CA

WO 98/50147

11/12/98

PCT

CB

WO 00/25920

05/11/00

PCT

CC

EP 0488769 A2

11/29/91

European Patent Application

CD

EP 0739241 B1

08/12/98

European Patent Application

CE

EP 0955097 A1

11/10/99

European Patent Application

CF

EP 1000661 A1

05/17/00

European Patent Application

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— (Including Author, Title, Date, Pertinent Pages Etc.)

EXAMINER

DATE CONSIDERED

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP § 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the applicant.